

Phil. 2440

Chapter 12: Metalogic

To Discuss:

- Basic concepts of metalogic
- Metalogic for the propositional calculus
- Metalogic for the predicate calculus

Basic concepts of metalogic

Logic vs. meta-logic

Some of the defects of ordinary language:

Systematic ambiguities.

Ex.: "All politicians are not honest."

Metaphysics

Sentences with misleading grammatical structures.

Ex.: "The average man has 2.3 children."

The idea of a 'logically perfect language':

No systematic ambiguities.

No meaningless sentences

Grammatical structure reflects logical structure

Logical properties can be read off the syntactic structure

Formal systems

Formation rules

Axioms

Transformation rules

Other concepts:

'Arguments'

'Proofs'

'Theorems'

'Interpretation' of a system

'Model' of a set of sentences

Desirable properties of formal systems

Completeness:

Every sentence that is true in all intended interpretations is a theorem.

Consistency:

No sentence of the form $p \ \& \ \sim p$ is a theorem.

Soundness:

No false sentences (in the intended interpretation) are theorems.

The consistency of the propositional calculus

Axioms:

Law of excluded middle. $p \vee \sim p$

Law of non-contradiction. $\sim(p \ \& \ \sim p)$

Interpretations in propositional logic:

Assign truth-values to atomic sentences

Consistency proof:

Lemma: In the propositional calculus, every theorem is a tautology, i.e., a proposition that is true in every intended interpretation.

- A) All the axioms of the propositional calculus are tautologies.
- B) Each of the transformation rules of the propositional calculus preserves tautologousness. That is, if you start from tautologies, they will enable you to derive only other tautologies.
- C) Therefore, all the theorems of the propositional calculus are tautologies, since they are derived from the axioms using the transformation rules.

1. In the propositional calculus, every theorem is a tautology.
2. Some propositions are not tautologies.
3. Therefore, some propositions are not theorems of the propositional calculus. (from 1,2)
4. If the propositional calculus is inconsistent, then every proposition is a theorem of it.
5. Therefore, the propositional calculus is consistent. (from 3,4)

Completeness of the propositional calculus

Conjunctive normal form:

Basic idea: One or more conjuncts. Each conjunct is a disjunction of one or more sentences. Each disjunct is an atomic sentence or the negation of an atomic sentence.

More precisely:

- a. There are no \rightarrow 's or \leftrightarrow 's.
- b. All \sim 's apply to atomic sentences.
- c. All \vee 's apply to atomic sentences or negated atomic sentences.

Examples: which of these are in conjunctive normal form?

- $(A \vee \sim A)$
- $A \& (B \vee C)$
- $(B \vee C) \& (\sim C \vee \sim A)$
- $(A \vee B) \& (B \vee C \vee A) \& (C \vee A)$
- $A \rightarrow (B \vee C)$
- $\sim(A \& \sim A)$
- $(A \& B) \vee \sim C$

How to transform a sentence into conjunctive normal form:

Apply Impl. & Equiv.

Apply DeM

Apply Dist.

Example 1: Transform " $A \rightarrow \sim(B \vee C)$ " into conjunctive normal form.

1. $A \rightarrow \sim(B \vee C)$
2. $\sim A \vee \sim(B \vee C)$ 1 impl
3. $\sim A \vee (\sim B \vee \sim C)$ 2 DeM

Example 2: Transform " $\sim(A \leftrightarrow B) \vee C$ " into conjunctive normal form.

1. $\sim(A \leftrightarrow B) \vee C$
2. $\sim[(A \& B) \vee (\sim A \& \sim B)] \vee C$ 1 equiv
3. $[\sim(A \& B) \& \sim(\sim A \& \sim B)] \vee C$ 2 DeM

- | | |
|--------------------------------------------------------------------|--------------|
| 4. $[(\sim A \vee \sim B) \& \sim(\sim A \& \sim B)] \vee C$ | 3 DeM |
| 5. $[(\sim A \vee \sim B) \& (\sim\sim A \vee \sim\sim B)] \vee C$ | 4 DeM |
| 6. $[(\sim A \vee \sim B) \& (A \vee B)] \vee C$ | 5 DN (twice) |
| 7. $[(\sim A \vee \sim B) \vee C] \& [(A \vee B) \vee C]$ | 6 Dist |
| 8. $(\sim A \vee \sim B \vee C) \& (A \vee B \vee C)$ | rewriting 7 |

Proving a sentence in conjunctive normal form:

Each conjunct must be tautologous

So each disjunction must be tautologous

So each disjunction must contain an atomic sentence & its negation

Example 3: To prove: $A \rightarrow (B \rightarrow A)$

- | | |
|--------------------------------------|---------|
| 1. $A \vee \sim A$ | axiom |
| 2. $\sim A \vee A$ | 1 comm |
| 3. $(\sim A \vee A) \vee \sim B$ | 2 add |
| 4. $\sim A \vee (A \vee \sim B)$ | 3 assoc |
| 5. $\sim A \vee (\sim B \vee A)$ | 4 comm |
| 6. $A \rightarrow (\sim B \vee A)$ | 5 impl |
| 7. $A \rightarrow (B \rightarrow A)$ | 6 impl |

Metalogic for predicate calculus

Interpretations:

Domain of discourse

An object assigned to each constant

A set of objects assigned to each predicate (its extension)

For relational predicates: assign a set of ordered pairs (triples, etc.)

Models:

A model for a set of sentences = an interpretation that makes all the sentences true.

Example:

$(\exists x)(\exists y) Rxy$

$(\exists x)(y) \sim Rxy$

$(x)(\exists y) Ryx$

A model:

Domain of discourse = all natural numbers. R = the "successor" relation.

Desirable properties of predicate logic:

Consistency

Completeness

Soundness

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Chapter 13: Gödel's Theorem

To Discuss:

Gödel's Theorem
Gödel's Second Theorem

What Is Gödel's Theorem?

Originally a response to *Principia Mathematica*
Applies to any other formal system of arithmetic
Gödel's Theorem:

Any formal system capable of representing arithmetic on the natural numbers is either inconsistent or incomplete.

What G's Theorem does not say:

Every formal system is inconsistent or incomplete.

Anything about "knowledge".

There are truths of arithmetic that cannot be *proven* in the standard English sense.

There are truths of arithmetic that cannot be proven in any formal system.

Anything about limits to human reason, the human mind, etc.

Outline of the Proof Procedure

Background: The liar paradox

(S) Statement S is false.

A Gödel sentence:

(G) Statement G cannot be proven in *Principia Mathematica*.

More precisely: The Gödel sentence for PM is a sentence of arithmetic that must be true if and only if it is not possible to derive that sentence using the rules of PM.

A little more detail:

Step 1: Number the sentences (and arguments) of PM.

Step 2: Show that the Gödel # of any sentence will have a specific arithmetical property, if and only if the sentence can be proven in PM.

Step 3: Formulate a sentence of PM that says that its own Gödel # does not have that property.

Step 1: Gödel Numbering

Goal of this section: To assign numbers to sentences & arguments in a formal system. I.e., to map sentences/arguments one-one onto a subset of the natural #s.

Numbering the basic symbols:

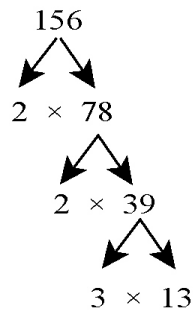
Symbol	Gödel number
(1
)	2
\exists	3
\forall	4
\sim	5

0	6
s	7
=	8
+	9
×	10

	Symbol	Gödel number	Symbol	Gödel number
	x	11	A	12
	y	13	B	14
	z	15	C	16
	\vdots	\vdots	\vdots	\vdots

Prime factorization:

All numbers have a unique prime factorization



Numbering the sentences:

Take the n th symbol in the sentence.

Find its Gödel #. Suppose it is a .

Take the n th prime number raised to the a power.

Example 1:

Find the Gödel number for the sentence, "0 = 0"

Answer:

String of symbols:	0	=	0
Gödel numbers for the symbols:	↓	↓	↓
	6	8	6
Series of prime numbers:	2	3	5
Gödel # for the string:	2^6	3^8	5^6

Answer: $2^6 \times 3^8 \times 5^6 = 6,561,000,000$

Example 2:

Find the sentence, if any, corresponding to the number 11,049,048,188,640.

Answer:

The prime factorization is $2^5 \times 3^2 \times 5^1 \times 7^8 \times 11^3$.

Prime factorization:	2^5	\times	3^2	\times	5^1	\times	7^8	\times	11^3
	↓		↓		↓		↓		↓
Gödel #s of symbols in the string:	5		2		1		8		3
The string:	~)		(=		∃

Numbering Arguments:

- Take the n th sentence in the argument.
- Find its Gödel #. Suppose it is a .
- Take the n th prime number raised to the a power.

Example 3:

Find the Gödel # for the argument:

- (x) $x+0 = x$
- $0+0 = 0$
- ($\exists x$) $x+x = x$

Answer:

Sentences in the proof	Gödel numbers	For short
(x) $x+0 = x$	$2^1 \times 3^{12} \times 5^2 \times 7^{12} \times 11^9 \times 13^6 \times 17^8 \times 19^{12}$	a
$0+0 = 0$	$2^6 \times 3^9 \times 5^6 \times 7^8 \times 11^6$	b
($\exists x$) $x+x = x$	$2^1 \times 3^3 \times 5^{12} \times 7^2 \times 11^{12} \times 13^9 \times 17^{12} \times 19^8 \times 23^{12}$	c

Answer:

$$2^a \times 3^b \times 5^c =$$

$$2^{(2^1 \cdot 3^{12} \cdot 5^2 \cdot 7^{12} \cdot 11^9 \cdot 13^6 \cdot 17^8 \cdot 19^{12})} \cdot 3^{(2^6 \cdot 3^9 \cdot 5^6 \cdot 7^8 \cdot 11^6)} \cdot 5^{(2^1 \cdot 3^3 \cdot 5^{12} \cdot 7^2 \cdot 11^{12} \cdot 13^9 \cdot 17^{12} \cdot 19^8 \cdot 23^{12})}$$

Step 2: Correlating syntactic properties of sentences with arithmetical properties of Gödel numbers

Goal of this section: To show that there is an arithmetical property possessed by the Gödel numbers of valid arguments in PM.

Each syntactic property (of a sentence) corresponds to an arithmetical property (of a Gödel #).

Examples:

Syntactic remark about sentence	Arithmetical statement about Gödel #
S begins with “(”.	The Gödel number of S is divisible by 2 but not by 4.
S contains “~~” somewhere.	There are consecutive prime numbers n and m , such that the Gödel number of S is divisible by $(n^5 \times m^5)$ but not by n^6 or m^6 .
:	:

Syntactic properties of *arguments* also correspond to arithmetical properties of Gödel #s.

Examples:

Argument A has 3 steps: The Gödel # of A is divisible by 2, 3, and 5, but not by any prime # greater than 5.

The operation of removing a double negation from the front of a sentence:

$$1. \sim\sim 0 = 0 \quad 2^5 \times 3^5 \times 5^6 \times 7^8 \times 11^6$$

$$2. 0 = 0 \quad 2^6 \times 3^8 \times 5^6$$

Getting an arithmetical property of the Gödel #s of theorems:

For any syntactic operation, there is a corresponding mathematical (arithmetic) operation.

So there is a mathematical relationship corresponding to each rule of the formal system.

So there is a mathematical relationship corresponding to *following the rules of the system*.

So there is a mathematical property of a sequence that follows the rules of the system.

So there is a mathematical property that the Gödel # of an argument has, if that argument is a proof in the system.

So there is a mathematical property that the Gödel # of a *sentence* has, if *there exists* a proof of that sentence in the system. Suppose this property is represented by $\phi(y)$.

So the formula

$$\phi(y)$$

is true (in the intended interpretation of the formal system) if and only if y is a theorem of the system.

Step 3: Formulating a Gödel sentence

Goal of this section: To show how a Gödel sentence for a formal system can be constructed, given the result of the previous section.

The direct approach: What about something like

$$\sim\phi(3097540239750934309)$$

where 3097540239750934309 is the Gödel # of “ $\sim\phi(3097540239750934309)$ ”?

The substitution operation:

Removing all occurrences of a given free variable in a formula, and replacing them with the symbol for a specific number.

Examples:

Formula	Variable letter to be replaced	Number symbol to replace it with	Result
$x = ssy$	x	$s0$	$s0 = ssy$
$(\exists x) x = ssy$	y	$sss0$	$(\exists x) x = sssss0$
$y + sy = ss0$	y	$s0$	$s0 + ss0 = ss0$
\vdots	\vdots	\vdots	\vdots

The Sub function:

The mathematical function that takes the Gödel # of a formula, the Gödel # of a variable letter, and a third number as inputs, and gives as output: the Gödel # of the formula that results from substituting the symbol for the third number for all occurrences of the variable in the formula.

Formula	Variable	Number	Result
$x = ssy$	x	$s0$	$s0 = ssy$
$(\exists x) x = ssy$	y	$sss0$	$(\exists x) x = sssss0$
$y + sy = ss0$	y	$s0$	$s0 + ss0 = ss0$
⋮	⋮	⋮	⋮

Important:

$\text{Sub}(65,4,8)$ is a number.

“**Sub**(65,4,8)” is an expression in the formal system (where “**Sub**” is the formal system’s representation of the Sub function).

“**Sub**(65,4,8)” refers to the number, $\text{Sub}(65,4,8)$.

So, we have:

Inputs of Sub function			Outputs of Sub function
$2^{11} \cdot 3^8 \cdot 5^7 \cdot 7^7 \cdot 11^{13}$	11	1	$2^7 \cdot 3^6 \cdot 5^8 \cdot 7^7 \cdot 11^7 \cdot 13^{13}$
$2^1 \cdot 3^3 \cdot 5^{11} \cdot 7^2 \cdot 11^{11} \cdot 13^8 \cdot 17^7 \cdot 19^7 \cdot 23^{13}$	13	3	$2^1 \cdot 3^3 \cdot 5^{11} \cdot 7^2 \cdot 11^{11} \cdot 13^8 \cdot 17^7 \cdot 19^7 \cdot 23^7 \cdot 29^7 \cdot 31^7 \cdot 37^6$
$2^{13} \cdot 3^9 \cdot 5^7 \cdot 7^{13} \cdot 11^8 \cdot 13^7 \cdot 17^7 \cdot 19^{13}$	13	1	$2^7 \cdot 3^6 \cdot 5^9 \cdot 7^7 \cdot 11^7 \cdot 13^6 \cdot 17^8 \cdot 19^7 \cdot 23^7 \cdot 29^6$
⋮	⋮	⋮	⋮

How to find the value of $\text{Sub}(x,y,z)$:

- Find the wff with Gödel number x .
- Find the variable with Gödel number y .
- Find the symbol that represents the number z in the formal system.
- In the wff mentioned in (a): take all occurrences of the variable mentioned in (b), and substitute the symbol mentioned in (c).
- Then find the Gödel # of the resulting sentence.

Some interesting formulas:

$$\sim\phi[\mathbf{Sub}(y,13,y)] \quad (1)$$

Suppose the Gödel number of formula (1) is n . Now consider:

$$\sim\phi[\mathbf{Sub}('n', 13, 'n')] \quad (3)$$

What is the value of $\mathbf{Sub}('n', 13, 'n')$?

- Find the wff with Gödel number n . That is formula (1).
- Find the variable with Gödel number 13. That is “ y ”.
- Find the symbol that represents the number n in the formal system. That is “ n ”.
- In formula (1): Take all occurrences of “ y ”, and substitute “ n ”. The result is formula (3) itself.
- So the value of $\mathbf{Sub}('n', 13, 'n')$ is the Gödel # of formula (3).

This is interesting:

Formula (3) then says that *its own* Gödel # does not have property ϕ .

ϕ is the property that the Gödel #'s of all the theorems of the formal system have.

So formula (3) says that formula (3) itself is not a theorem of the system.

Conclusion of the proof

Suppose formula (3) is true:

Then it is true but not a theorem. \rightarrow The system is incomplete.

Suppose formula (3) is false:

Then it is false and is a theorem. \rightarrow The system is unsound.

Gödel's Second Theorem

No consistent formal system, capable of representing arithmetic, can be used to prove its own consistency.

What this does not say:

Anything about 'knowledge'

Anything about proof in the standard English sense.

Anything about limitations of the human mind

Anything about the imperfections of mathematics

That a given system's consistency cannot be proven in *any* formal system. (It can be proven in a stronger system.)